

Apophony theory in phonology and morphology

Apophony is a vocalic alternation, which is

(i) context-free

and (ii) the vehicle of a grammatical opposition

(1.1)

(i) English and German strong verbs:

"to sing"	present:	s <u>I</u> ng
	preterite:	s <u>ɛ</u> ng

(ii) Verbal forms in Classical Arabic:

"to write"	perfective, 3ms:	kata ṭ a
	imperfective, 3ms:	ya-kt <u>u</u> b

(iii) Verbal forms in Kabyle Berber:

"to look for"	perfective, 3ms:	i-nuda
	imperfective, 3ms:	y <u>ʃ</u> -ts-nadi

(iv) Nouns in Hausa:

"lamp"	singular:	fitilaa
	plural:	fitiluu

(1.2)

— Apophonic phenomena are reputed to be unpredictable and as such fully lexicalized: both vowels involved in an apophonic alternation have to be lexicalized (see e.g. list of strong verbs in Germanic, irregular verbs in Kabyle Berber are dispatched in 21 classes).

Critics on this position

— Both vowels involved in an apophonic alternation are lexicalized => a mere listing of the couples (A, B), the only condition on A and B is A - B.

— If apophonic alternations are lexicalized then we expect apophony to involve any two vowels.

(CA)	<i>Perf.</i>	<i>Imperf.</i>	<i>Perf.</i>	<i>Imperf.</i>	
a	u	*	u	i	
i	a	*	u	a	
a	i	*	i	u	
u	u	*	i	i	
		*	a	a	

(1.3)

(KB)	<i>Imperf.</i>	<i>Perf.</i>	<i>Imperf.</i>	<i>Perf.</i>	
i	i	*	a	i	
i	a	*	u	i	
a	u	*	@	i	
u	u	*	a	a	
@	@	*	u	a	
		*	@	a	
		*	@	u	
		*	@	i	
		*	@	a	
		*	@	u	

(1.4)

Aims of this course

(i) to show that in certain cases the alleged "irregularity" in apophonic systems can be reduced.

(ii) to evaluate the implications of this result on the morphology of various languages, mainly Afro-Asiatic, possibly Indo-European.

(1.5)

- week 1: Apophony theory, data and analyses

In their analysis of the Classical Arabic verbal system, Guerssel & Lowenstamm 1996 propose that the two vowels involved in an apophonic alternation are related by a derivation (Apophonic Path). According to this analysis, the derived term of an apophonic alternation is unambiguously predictable on the basis of the source term. Only the source term needs to be lexicalized. We will examine apophonic systems in languages from various families (see references), this examination reveals that the Apophonic Path is also active in these languages. This suggests that the Apophonic Path is a universal mechanism.

- 1: Apophony in Classical Arabic (Afro-Asiatic)
- 2: Apophony in Berber (Afro-Asiatic)
- 3: Apophony in German (Indo-European)
- 4: Apophony in Beja (Afro-Asiatic)

- week 2: Apophony in morphology

Apophonic alternations are cases of non-concatenative morphology: a grammatical opposition is expressed via a vowel alternation.

(i) Apophony theory establishes precise relationships between templates in Semitic => predictions on the organization of the template set.

(ii) Apophony has been argued to play a role in (a) languages that have clearly a templatic morphology (Semitic: CA), (b) languages that are less clearly templatic (Berber, Cushitic: Beja) and (c) languages usually defined as non-templatic (Indo-European: German, Spanish). This result has a consequence on our understanding of the division "templatic" vs. "non-templatic" languages.

(iii) A word-to-word approach vs. a root-based model of Afro-Asiatic morphology.

- 1: Template organization in Semitic, the Akkadian case
- 2: Apophony in a non-templatic language, Spanish
- 3: The mental representation of Semitic words

— References:

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- Ségéral, Philippe. 2000. "Théorie de l'apophonie et organisation des schèmes en sémitique." In: Lecarme, Jacqueline, Jean Lowenstamm & Ur Shlonsky (eds.), *Research in Afroasiatic Grammar*. Amsterdam: Benjamins, 263-299.
- Ségéral, Philippe & Tobias Scheer. 1996. "A generalized theory of Ablaut: the case of Modern German Strong Verbs." In Ortmann, Albert., Ray Fabri & Teresa Parodi (eds.), *Models of Inflection*. Tübingen: Niemeyer, 28-59.

Apophony in Classical Arabic verbal forms

Content :

The analysis of the Classical Arabic verbal system.

The Apophonic Path.

Data and analysis from Guerssel & Lowenstamm 1993, 1996.

1. Introduction

Root	Gloss	Measure I verbal forms				(2.1)
		Perfective		Imperfective		
		Active	Passive	Active	Passive	
~Albs	"wear"	lab <u>is</u>	lubis	ya-lb <u>as</u>	yu-lbas	
~Aktb	"write"	kata <u>b</u>	kutib	ya-k <u>t<u>b</u></u>	yu-ktab	
~Drb	"hit"	Dar <u>a</u> b	Durib	ya-D <u>r<u>a</u>b</u>	yu-Drab	
~Akbr	"be great"	kab <u>ur</u>		ya-k <u>u<u>rb</u></u>		
		variable	[u-i]	variable	[u-a]	

2. The apophonic classes of Classical Arabic

(a) - Facts

Perfective		Imperfective		(2.2)
i		a		
a		u		
a		i		
u		u		
*	u	i		
*	u	a		
*	i	u		
*	i	i		
*	a	a		

*	u	i		(2.3)
*	u	a		
*	i	u		
*	i	i		
*	a	a		

(b) - Characteristics

(i) Unnaturalness:

Root	Gloss	Perfective, Active		Imperfective, Active		(2.4)
		karab	ya-krub	xarab	ya-xri <u>b</u>	
~Akrb	"come near"			dari <u>b</u>	ya-dra <u>b</u>	
~Axrb	"devastate"			qar <u>u</u> b	ya-qrub	
~Adrb	"be experienced"			\$ari <u>b</u>	ya-\$rab	
~Aqrbb	"be close to"			harab	ya-hrub	
~Asrb	"drink"					
~Ahrb	"flee"					

(ii) Opacity:

(a)				(b)			
Input:	i	a	u	Input:	u	i	a
Perfective		\		Imperfective	\		
	3	1 2 4			4 2 1	3	
Output:		\		Output:	\		
Imperfective	a	i	u	Perfective	u	a	i

where: 1. Darab-yaDrib
2. katab-yaktub

3. labis-yalbas
4. kabur-yakbur

(2.5)

(iii) Partial polarity:

(a)	Input: labis Dar <u>a</u> b		Input: yaDrib yal <u>b</u> as	
Perfective				
	i > a	a > i	a > i	i > a
Output:				
Imperfective	yal <u>b</u> as	yaDrib	Dar <u>a</u> b	labis

(2.6)

(c) - An alternative to partial polarity

(a)	Input: i a x u				Input: i x a u			
Perfective								
	3	1	2	4	1	2	3	4
Output:								
Imperfective	a	i	u	u	a	a	i	u

(a)	Input: u x i a				Input: x u i a			
Perfective								
	4	1	3	2	4	2	1	3
Output:								
Imperfective	u	i	a	u	u	a	a	i

(2.7)

where: 1. Darab-yaDrib 3. labis-yalbas
 2. katab-yaktub 4. kabur-yakbur

(i) apophony maps the Perfective melody into that of the Imperfective.

(ii) Darab-yaDrib is the verb type bearing "x", viz. Dar(x)b-yaDrib. (2.9)

D r b	k t b
CVCVCVCV	CVCVCVCV
-	
a -	a a

(2.10)

- -> i	Dar <u>b</u> -yaDrib
i -> a	labis-yal <u>b</u> as
a -> u	katab-yaktub
u -> u	kabur-yakbur(2.11)

(2.12)

(d) - The apophonic mechanism

Perfective	Imperfective
D r b	y D r b
CVCVCVCV	CVCVCVCV
-	
a -	a i

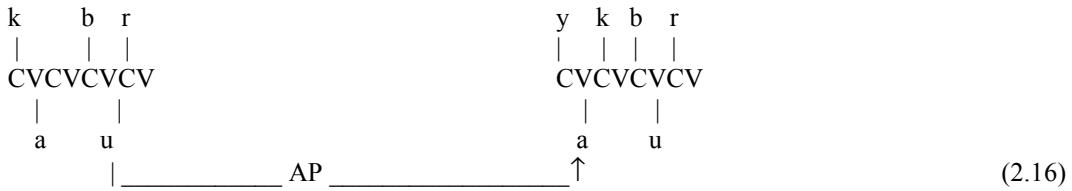
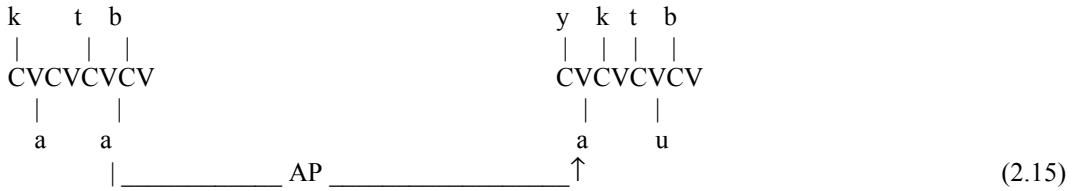
↑

(2.13)

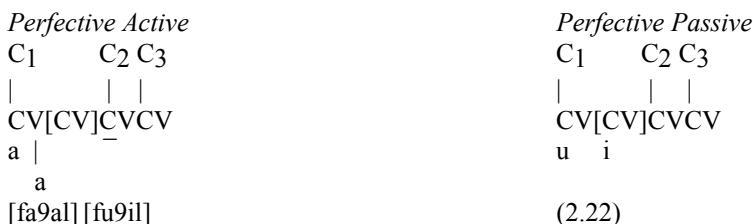
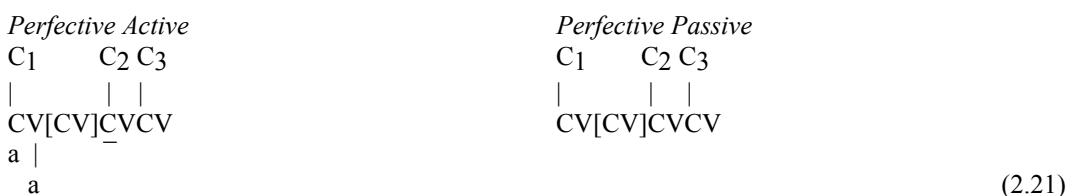
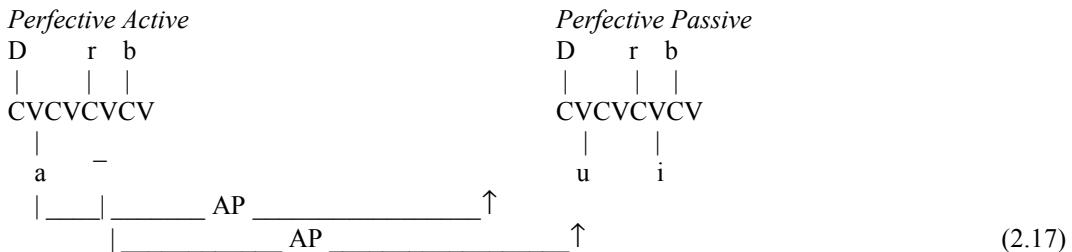
l b s	y l b s
CVCVCVCV	CVCVCVCV
a i	a a

↑

(2.14)

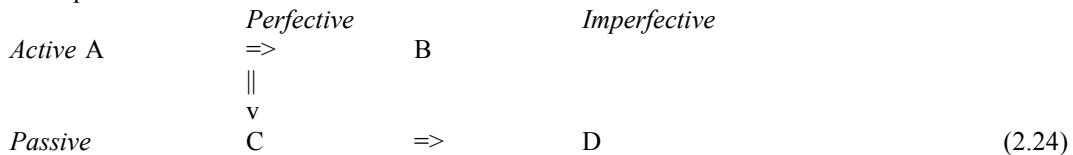


3. Actives vs. Passives: the marking of voice



<i>Perfective Passive</i>	<i>Imperfective Passive</i>
C ₁ C ₂ C ₃	y C ₁ C ₂ C ₃
CV[CV]CVCV	CV[CV]CVCV
u i	u a
[fu9il] [yuf9al]	(2.23)

Derivational path:



4. The domain of apophony: extension to other forms

	<i>Perfective, Active</i>	<i>Imperfective, Active</i>
II	kattab	yu-kattib
VII	inkatab ya-nkatib	
II	<i>Perfective Active</i> k t b / CV[CV]CVCV a a a	<i>Imperfective Active</i> y k t b / [CV]CV[CV]CVCV u i (2.25)
VII	<i>Perfective Active</i> n k t b [affCV] CV[CV]CVCV a - * a * *****no apophony*****	<i>Imperfective Active</i> y n k t b CV +[affCV] CV[CV]CVCV a i * a * *****no apophony*****

5. Conclusion

<i>Root</i>	<i>Lexical vocalization</i>
Drb	$\underline{-}$
lbs	\leftrightarrow i
ktb	\leftrightarrow a
kbr	\leftrightarrow u

The classes of vowel correspondences are a by-product of the operation of the ablaut function:
 $\underline{-} \rightarrow i \rightarrow a \rightarrow u \rightarrow u$. (2.28)

References:

- (1) Guerssel, Mohand & Jean Lowenstamm (1993), *Apophony in Classical Arabic*, ms. UQAM / Paris7.
- (2) Guerssel, Mohand & Jean Lowenstamm (1996), *Ablaut in Classical Arabic measure I active verbal forms*. In: Lecarme, Jacqueline, Jean Lowenstamm & Ur Shlonsky (eds): *Studies in Afroasiatic Grammar*. 123-134. The Hague: HAG.
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- (4) McCarthy, John (1981), *A prosodic theory of nonconcatenative morphology*. In: *Linguistic Inquiry* 12:3.
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Apophony in Kabyle Berber verbal system

Content:

An apophonic analysis of the aspectual opposition in Kabyle Berber.

The status of the "negative" preterite stem.

Data and analysis from Bendjaballah 1999, 2000.

1. Introduction

— Within each aspectual category, every verb has two stems: the imperfective stems are the 'aorist' and the 'intensive aorist', the perfective stems are the 'preterite' and the 'negative preterite'. All in all there are four lexical forms for each verb

(3.1)

	<i>Imperfective stems</i>		<i>Perfective stems</i>		<i>gloss</i>
	<i>aorist</i>	<i>intensive aorist</i>	<i>preterite</i>	<i>negative pret.</i>	
a.	xd@m	x@dd@m	xd@m	xdim	'to work'
	bb@R	t@bb@R	bb@R	bbiR	'to dive'
b.	nadi	tsnadi nuda		nuda	'to look for'
	mil tsmil	mal		mal	'to lean'
c.	R@TTi	tsR@TTi	R@TTa	R@TTa	'to muffle up'
	ad@r	ttsad@r	ud@r	udir	'to go down'

(3.2)

2. The representation of Berber vowels

(a) - Vocalic system of Berber:	i	u	
		@	a

(3.3)

(b) - Hypothesis

In Berber, vocalic elements must be associated with two V positions.

(3.4)

(a)	* CV I	* CV U	* CV A
(b)	C V C V \\/ I [i]	C V C V \\/ U [u]	C V C V \\/ A [a]

(3.5)

(c) - Consequence on empty V-positions

(a)	PG ____//____ ↓ C ₁ V ₁ C ₂ x y	(b)	PG ____//____ ↓ C ₁ V ₁ C ₂ x I/A/U y
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(3.6)

<u>skeletal level</u>	(a) Peripheral vowels. CVCV CVCV CVCV V V V			(b) [@] is ambiguous. CV CV CV CV	
<u>segmental level</u>	I	U	A	-	I
<u>phonetic realization</u>	[i]	[u]	[a]		[@] [@@][@][@]

(3.7)

3. The verbal system of Berber: presentation and issues

IMPERFECTIVE		PERFECTIVE	
/	\	/	\
stem I (aorist)	stem II (intensive aorist)	stem III (preterite) preterite)	stem IV (negative)
xd@m 'work!'	x@dd@m 'usually work!'	y@-xd@m 'he worked'	ur y@-xdim 'he didn't work'
mil 'lean!'	tsmil 'usually lean!'	i-mal 'he leaned'	ur i-mal 'he didn't lean'

(3.8)

(a) - Verb types

	IMPERF.	PERFECTIVE		gloss	total
	I Aorist	III Positive preterite	IV Negative preterite		
a.	1. xd@m	xd@m	xdim	'to work'	682
	2. bb@R	bb@R	bbiR	'to dive'	60
	3. bri	bri	bri	'to cut'	53
	4. cil	cil	cil	'to measure'	2
	5. dub	dub	dub	'to be exhausted'	40
	6. \$ukk	\$ukk	\$ukk	'to suspect'	44
b.	7. ad@r	ud@r	udir	'to go down'	30
	8. aç ^w i	uç <i>i</i>	uç <i>i</i>	'to wake up'	5
	9. R@TTa	R@TTa	R@TTa	'to muffle up'	45
	10. fat	fut	fut	'to miss'	38
	11. mil	mal	mal	'to lean'	35
	12. qirr	qarr	qarr	'to admit'	7
	13. fakk	fukk	fukk	'to finish'	8
	14. druri	drura	drura	'to need'	17
	15. nadi	nuda	nuda	'to look for'	27
	16. xtir	xtar	xtar	'to choose'	10
	17. nnaR	nnuR	nnuR	'to fight'	6
c.	18. w@ll@h	w@ll@h	w@ll@h	'to guide'	659
	19. bar@ç \$iw@r	bur@ç \$aw@r	bur@ç \$aw@r	'to bless' 'to consult'	97 11
	20. \$ab	\$ab	\$ab	'to whiten (hair)'	42
	21. ifxfif ag ^w ar uzzur	uxfaf ugar uzzar	uxfaf ugar uzzar	'to be light' 'to exceed' 'to be scattered'	48

(3.9)

Vocalic alternation between stem I and stem III

verb types (3.9.a)	NO
verb types (3.9.b)	YES

(3.10)

stem I \ stem III	i	a	u	@
i	YES	YES	NO	NO
a	NO	NO	YES	NO
u	NO	NO	YES	NO
@	NO	NO	NO	YES

(3.11)

identity of the vowel (stem I, stem III)	(i, i)	(i, a)	(a, u)	(u, u)	(@, @)
Verb with one radical vowel	3, 4	11, 12, 16	10, 13, 17	5, 6	1, 2
Verb with two radical vowels	8	9, 14, 15	7, 8, 15	14	7, 9

(3.12)

Voc. alternation between stem III and stem IV	
verb types (3.9.1), (3.9.2), (3.9.7)	YES
other verb types	NO

(3.13)

	'regular' verbs (no vocalic alternation stem I/III)	'irregular' verbs (vocalic alternation stem I/III)
no alternation stem III / stem IV	types 3 to 6	types 8 to 17
alternation stem III / stem IV	types 1 and 2	type 7

(3.14)

ref. in (3.9)	IMPERF.		PERFECTIVE		verbs
	stem I	stem III	stem IV		
A	11, 12, 16	i	a	a	mil, qirr, xtir
	10, 13, 17	a	u	u	fat, fakk, nnaR
	5, 6	u	u	u	dub, \$ukk
	15	a i	u a	u a	nadi
	14	u i	u a	u a	druri
B	1, 2	@	@	i	xd@m, bb@R
	7	a @	u @	u i	ad@r
C	9	@ i	@ a	@ a	R@TTi
D	3, 4	i	i	i	bri, cil
	8	a i	u i	u i	ag^wi

(3.15)

4. The apophonic derivation: evidence from group A

Apophonic path:

- > I -> A -> U -> U

(3.16)

(a) - The transparent cases of application of the apophonic path: group A

	Imperfective (stem I)	Perfective (stems III&IV)	verb type
(a) apophonic step. i -> a	mil qirr xtir	mal qarr xtar	11 12 16
a -> u	fat fakk nnaR	fut fukk nnuR	10 13 17
u -> u	dub \$ukk	dub \$ukk	5 6
(b) combinations. (a -> u , i -> a) (u -> u , i -> a)	nadi druri	nuda drura	15 14

(3.17)

(b) - Directionality of AP and morphological complexity

(a)	<i>Imperfective.</i>	2s	mil	'lean!'
		2mp	mil-@n	id.
		2fp	mil-@nt	id.
(b)	<i>Perfective.</i>			
	<i>Positive preterite:</i>	2s	<u>t</u> -mal-@D	'you leaned'
		2mp	<u>t</u> -mal-@m	id.
		2fp	<u>t</u> -mal-@mt	id.
	<i>Negative preterite:</i>	2s	ur <u>t</u> -mal-@D	'you did not lean'
		2mp	ur <u>t</u> -mal-@m	id.
		2fp	ur <u>t</u> -mal-@mt	id.

(3.18)

(c) - By-products of the analysis

verbal stem: Imperf./Perf.	traditional presentations	apophonic analysis
mil / mal	irregular	i -> a
fat / fut	irregular	a -> u
<u>dub</u> / <u>dub</u>	regular	u -> u
	<u>dichotomy:</u> regular / irregular verb	<u>one mechanism:</u> Imp -> Perf = instantiation of an apophonic step

(3.19)

verbal stem: Imperf./Perf.	traditional presentations	apophonic analysis
nadi / nuda	2 alternating vowels	a i -> u a
druri / drura	1 alternating vowel, only	u i -> u a
	<u>number of alternating vowels</u> is variable	<u>uniform application</u> of AP to the entire vocalic melody

(3.20)

5. Opacity and the status of the 'negative preterite'**(a) - Group B verbs are problematic**

IMPERFECTIVE <u>stem I</u>		PERFECTIVE <u>stem III</u>		<u>status</u>	<u>gloss</u>
a.	x <u>d</u> @m	x <u>d</u> @m	x <u>dim</u>	regular	'to work'
b.	bb@R	bb@R	bbiR	regular	'to dive'
c.	ad@r	u <u>d</u> @r	u <u>dir</u>	irregular	'to go down'

(3.21)

(b) - Analysis of the 'negative preterite'

IMPERFECTIVE		PERFECTIVE		
/	\	/	\	
positive	negative	positive	negative	
a.	x <u>d</u> @m 'work!'	ur x@ <u>dd</u> @m ara 'do not work!'	y@-x <u>d</u> @m 'he worked'	ur y@-x <u>dim</u> ara 'he did not work'
b.	bb@R 'dive!'	ur <u>t</u> @bb@R ara 'do not dive!'	y@-bb@R 'he dived'	ur y@-bbiR ara 'he did not dive'
c.	ad@r 'go down!'	ur <u>ttsad</u> @r ara 'do not go down!'	y-u <u>d</u> @r 'he went down'	ur y-u <u>dir</u> ara 'he did not go down'

(3.22)

	1. <u>Positive Imp.</u>	2. <u>Negative Imp.</u>
(a)	CVCVCV x <u>d</u> m [xd@m]	CVCVCVCV \ / x <u>d</u> m [x@dd@m]
(b)	CVCVCV V b R [bb@R]	CVCVCVCV V <u>t</u> b R [t@bb@R]
(c)	CVCVCVCV V a <u>d</u> r [ad@r]	CVCVCVCVCV V / V ts a <u>d</u> r [tsad@r]
		(3.23)
	1. <u>Positive Perf.</u>	2. <u>Negative Perf.</u>
(a)	CVCVCV x <u>d</u> m [xd@m]	CVCVCVCV \ / x <u>d</u> i m [xdim]
(b)	CVCVCV V b R [bb@R]	CVCVCVCV V V b i R [bbiR]
(c)	CVCVCVCV V a <u>d</u> r [ad@r]	CVCVCVCVCV V V u <u>d</u> i r [udir]
		(3.24)

Negative preterite formation:

- (a) Insertion of a CV unit in the template of the positive stem. Site of insertion is immediately before the last CV unit of the template: (CV)CVCVCV -> (CV)CVCV[CV]CV
- (b) Identification of this position ([nCV]) by the vocalic melody. (3.25)

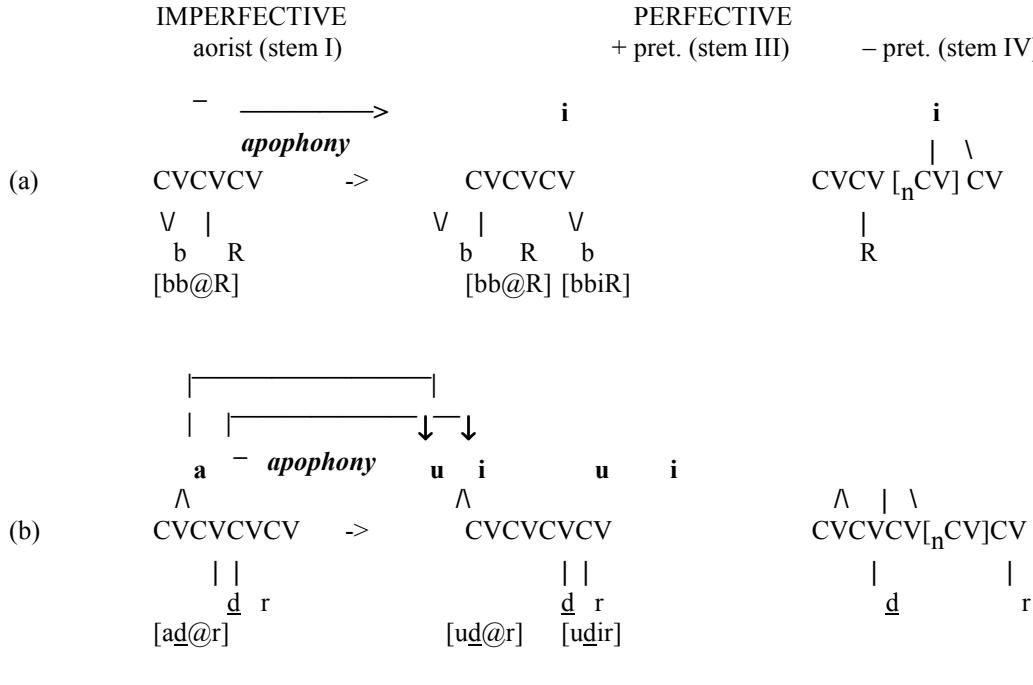
(a) <u>positive</u> (stem III)	(b)	(c) <u>negative</u> (stem IV)
i	i	i
CVCVCV x <u>d</u> m [xd@m]	-> CVCV [nCV] CV x <u>d</u> m [xd@m]	-> CVCV [nCV] CV \ / x <u>d</u> i m [xdim]

(3.26)

IMPERFECTIVE aorist (stem I)	PERFECTIVE + pret. (stem III)	- pret. (stem IV)
<u>—</u>	i	i
CVCVCV x <u>d</u> m [xd@m]	-> CVCVCV x <u>d</u> m [xd@m]	-> CVCV [nCV] CV \ / x <u>d</u> i m [xdim]

apophony

(3.27)



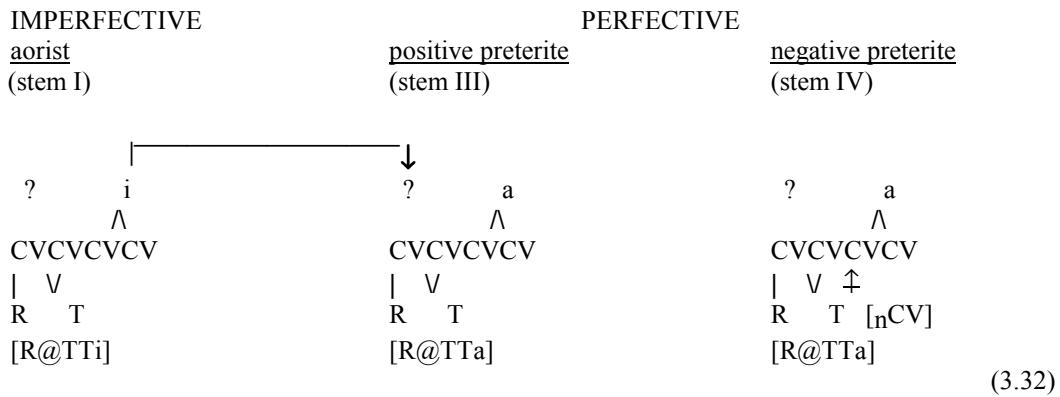
verb type	stem I	melody	stem III	melody
xd@m	xd@m	/ /	xd@m	/i /
bb@R	bb@R	/ /	bb@R	/i /
ad@r	ad@r	/aÉ /	ud@r	/u..i /

(3.29)

- (a) there is no phonological vocalic alternation inside the perfective aspect.
(b) the mechanism by which the speakers of the language have access to the real identity of an element neutralized in [@] in stem I is the apophonic derivation.
 - All verbs of groups A and B, whether 'regular' or not, obey the same regularity: the vehicle of the aspectual opposition is AP.
- (3.30)

(c) - Recoverability of the elements neutralized in schwa: opacity in group C

<u>Imperfective</u>	stem I:	R@TTi	'to muffle up'
<u>Perfective</u>	stem III:	R@TTa	
	stem IV:	R@TTa	



6. The 'negative preterite': extension of the analysis to groups A, C and D

(a) - Data

verb type	reference	example (stem I)	Perfective stems	
			pos. (stem III)	neg. (stem IV)
a. CVC _i C _i	6, 12, 13 (group A)	fakk	fukk	fukk
b. CVCV	15 (group A)	nadi	nuda	nuda
c. CCVCV	14 (group A)	druri	drura	drura
d. CCV	3 (group D)	bri	bri	bri
e. VCV	8 (group D)	agwi	ugi	ugi
f. C@C _i C _i V	9 (group C)	R@TTi	R@TTa	R@TTa
g. CVC	4, 5, 10, 11 (groups A&D)	mil	mal	mal
h. CCVC	16, 17 (group A)	nnaR	nnuR	nnuR

(3.33)

(b) - Identity stem III / IV due to an impossible insertion of the negative infix

(a) stem III: [fukk]
u

^
 CVCVCV
 | V
 f k

(b) stem IV = stem III: [fukk]
u [nCV]

^ ↓
 CVCVCV
 | V
 f k

(a) stem III: [nuda]

u a
 ^ ^
 CVCVCV
 | |
 n d

(b) stem IV = stem III: [nuda]

u a
 ^ ^
 CVCVCV
 | |
 n d [nCV]

(3.34)

(3.35)

(c) - Identity stem III / IV due to an impossible identification of the neg. infix

(a) stem III: [mal]

a
 ^
 CVCV
 | |
 m l

(b) [nCV] insertion

a
 ^
 CVCV[nCV]CV
 | |
 m l

(3.36)

(a) impossible propagation

a
 ^ ‡
 CVCV[nCV]CV
 | |
 m l

(b) stem IV = stem III: [mal]

a
 ^
 CVCV[nCV]CV
 | |
 m - |
 m

(3.37)

7. Why [i] does not alternate in group D

IMPERFECTIVE stem I	PERFECTIVE		status	gloss
	stem III	stem IV		
a. bri	bri	* bra	bri	regular
b. açwi	uçi	* uçä	uçi	irregular
c. çil	çil	* çäl	çil	regular

(3.38)

The [i] that surfaces in the verbs of group D is not part of the vocalic melody but the realization of a radical glide. Since the apophonic mechanism applies to the vocalic melody only, an element that surfaces as a vocalic [i] but reflects a radical glide is not affected by the apophonic derivation. It thus remains [i] in all verbal stems. (3.39)

(a) - 'Defective' verbs

– The deverbal noun: a test for the identification of [i]

<u>verb</u> (stem I)	<u>gloss</u>	<u>derived noun</u>	<u>gloss</u>	<u>rad. cons</u>
<u>xd@m</u>	'to work'	<u>axdam</u>	'the act of working'	<u>xdm</u>
<u>agw@m</u>	'to draw'	<u>agwam</u>	'the act of drawing'	<u>gw</u> m
<u>qq@n</u>	'to bind'	<u>tuqqna</u>	'the act of binding'	<u>qn</u>

(3.40)

Verb type $C_1C_2@C_3$ \rightarrow noun: $aC_1C_2aC_3$

<u>verb</u> <u>stem I</u>	<u>derived noun</u>	<u>rad. cons</u>	<u>gloss</u>
<u>stem III</u>			
<u>xd@m</u>	<u>axdam</u>	<u>xdm</u>	'to work'
<u>bd@r</u>	<u>abdar</u>	<u>bdr</u>	'to quote'
<u>bH@t</u>	<u>abHat</u>	<u>bHt</u>	'to inquire'

(3.41)

Verb type C_1C_2i , i non alt. \rightarrow noun: aC_1C_2ay

<u>verb</u> <u>stem I</u>	<u>derived noun</u>	<u>rad. cons</u>	<u>gloss</u>
<u>stem III</u>			
<u>bri</u>	<u>abray</u>	<u>bry</u>	'to cut a piece'
<u>dri</u>	<u>adray</u>	<u>dry</u>	'to hit one's finger'
<u>gni</u>	<u>a&ray</u>	<u>&ny</u>	'to be fat'
<u>ndi</u>	<u>anday</u>	<u>ndy</u>	'to set a trap'

(3.42)

Verb type $C_1@C_2C_2i$ / i alt. \rightarrow noun: $aC_1@C_2C_2i$
 C_1aC_2i / i alt. \rightarrow noun: aC_1aC_2i

<u>verb</u> <u>stem I</u>	<u>derived noun</u>	<u>rad. cons</u>	<u>gloss</u>
<u>stem III</u>			
<u>R@tti</u>	<u>aR@tti</u>	<u>Rt</u>	'to muffle up'
<u>b@rra</u>	<u>ab@rra</u>	<u>br</u>	'to take no further interest in'
<u>nadi</u>	<u>anadi</u>	<u>nd</u>	'to look for'
<u>\$ali</u>	<u>a\$ali</u>	<u>\$l</u>	'to roam'

(3.43)

Verb type $C_1C_1@C_2$ \rightarrow noun: $tuC_1C_1C_2a$
 C_1C_1i / i non alt. \rightarrow noun: tuC_1C_1ya

<u>verb</u> <u>stem I</u>	<u>derived noun</u>	<u>rad. cons</u>	<u>gloss</u>
<u>stem III</u>			
a. <u>bb@R</u>	<u>tubbRa</u>	<u>bR</u>	'to dive'
<u>\$\$@g</u>	<u>tu\$\$ga</u>	<u>g</u>	'to slip'
b. <u>bbi</u>	<u>tubbya</u>	<u>by</u>	'to pinch'
<u>kki</u>	<u>tuukkya</u>	<u>ky</u>	'to take part'

(3.44)

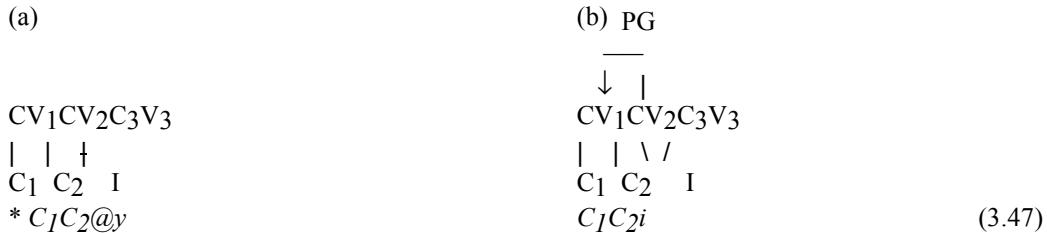
Verb type $aC_1 @ C_2$ -> noun: $aC_1 aC_2, aC_1 C_1 u C_2$
 $aC_1 i / i$ non alt. -> noun: $aC_1 aC_2, aC_1 C_1 u C_2$

<u>verb</u>		<u>derived noun</u>	<u>rad. cons</u>	<u>gloss</u>
<u>stem I</u>	<u>stem III</u>			
a.	$ag^w @ m$	$ug @ m$	ag^wam	$g^w m$
	$ad @ f$	$ud @ f$	adduf	$d f$
b.	$aç^w i$	$uç i$	aç^way	$ç^w y$
	ali	uli	alluy	ly
	ani	uni	annuy	ny

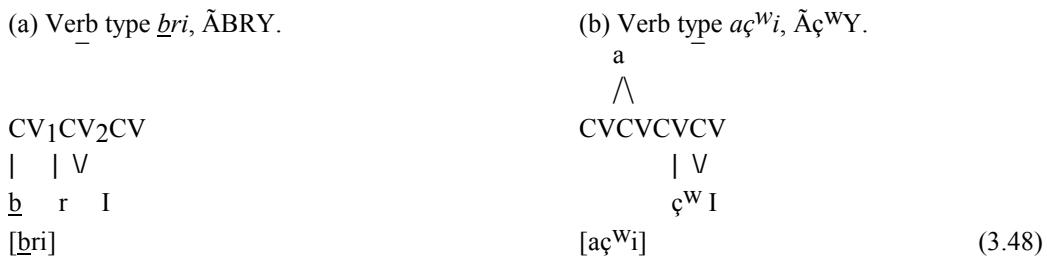
(3.45)

– Representations

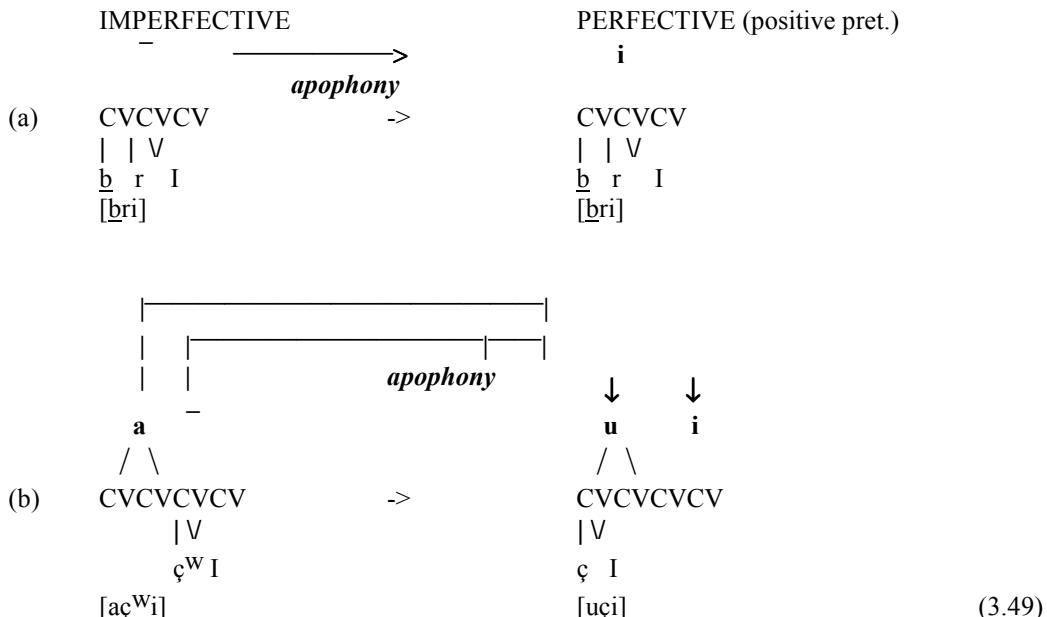
'If [a] glide is not followed by a phonetic vowel in the nuclear position, then it occupies that nuclear position'.
(Guerssel XX) (3.46)



(3.47)



(3.48)

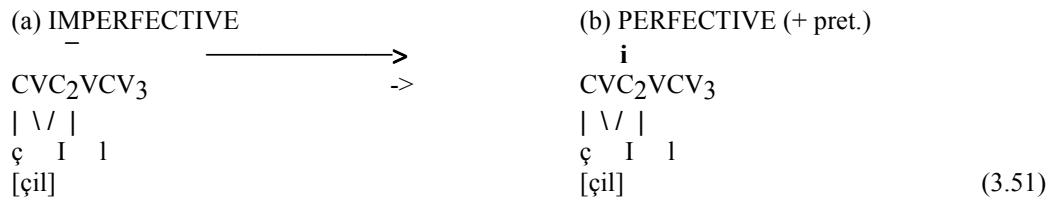
– Apophonic derivations

(3.49)

(b) - 'Hollow' verbs

ĀçYL	<u>verb</u>	stem I: çil	stem III: çil	'to measure' (intr. & tr.)
	<u>variant</u>	stem I: ç@yy@l	stem III: ç@yy@l	'to measure' (tr.)

(3.50)

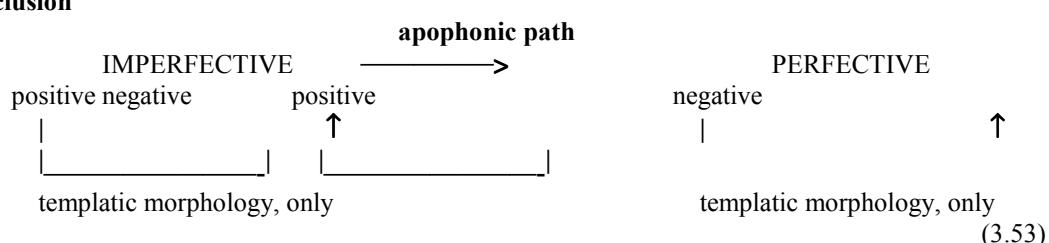


(c) - Glide W: opacity

rad. cons.	loan <u>< ar</u>	stem I	<u>verb</u>	variant	stem III	status of [u]	
Hn \$d	HNN \$DD	Hun \$udd	Hun \$udd	does not exist does not exist		vocalic melody	'to pity so' 'to bind'
δwl Hw\$	TWL	δul hu\$\$	δul hu\$\$	δ@bb ^w @l h@bb ^w @\$	δ@bb ^w @l h@bb ^w @\$	radical glide	'to be long' 'to shake'

(3.52)

8. Conclusion



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The internal structure of the determiner in Beja

Content:

Different role of AP.

Form of correspondence between the grammatical features and their phonological exponents.

Data and analysis from Bendjaballah 1999.

1. Introduction

	singular subject	non subject	plural subject	non subject
masculine	u: -	o: -	a: -	e: -
feminine	tu: -	to: -	ta: -	te: -

(5.1)

- (i) **u:-me:k e:a** "the donkey came"
 detMsS-donkey come, 3ms past
- vs. me:k e:a "a donkey came"
 donkey come, 3ms past
- (ii) **wu:-yo: o:-ra:w** deb-i\$-iya "the bull made the other fall"
 detMsS-bull detMs*S-other fall-caus-3ms past
- to:-fna i-hayt** "he took the spear"
 detFs*S-spear take, 3ms past
- e:-mana tam-ya** "he ate the viscera"
 detMp*S-viscera eat-3ms past
- (5.2)

Aims

- Identify the phonological exponents of the grammatical features expressed on the determiner in Beja.
- More generally: explore the nature of the correspondence between the grammatical features and their phonological exponents.

The grammatical features have access to the internal phonological structure of the segments: a grammatical feature may have as phonological exponent an object that is not phonetically interpreted in isolation but is realized in composition with another phonological object.

(5.3)

A form of correspondence between the gramm. features and their phonological exponents:

- (i) the grammatical features, i.e. the primitives of the grammatical matrixes, are realized by the primitives of the phonological representations.
- (ii) correspondence between the type of grammatical feature and the type of phonological primitive.

2. Ingredients

- 3 types of phonological primitives: (a) the element, primitive of the segmental level
 (b) the CV unit, primitive of the skeletal level
 (c) the association line
- (5.5)

- Grammatical features: (a) gender feature: masc., fem.
 (b) number feature: sing., plur.
 (c) case feature: subject, non subject
 (d) definiteness feature: determiner is definite
- (5.6)

	<u>phonetic exponent</u>	<u>internal structure of the segments</u>		<u>gramm. features</u>
		cons.	voc.	
a.	[u:]	Ø	U	<M, sg, S, def>
b.	[o:]	Ø	A.U	<M, sg, nonS, def>
c.	[a:]	Ø	A	<M, pl, S, def>
d.	[e:]	Ø	A.I	<M, pl, nonS, def>
e.	[tu:]	t	U	<F, sg, S, def>
f.	[to:]	t	A.U	<F, sg, nonS, def>
g.	[ta:]	t	A	<F, pl, S, def>
h.	[te:]	t	A.I	<F, pl, nonS, def>

(5.7)

3. Analysis

- (a) the gender, number and case features: inherited from another syntactic node - concord.
 (b) the "definite" feature: not inherited. (5.8)

The phonological exponent of the feature "definite" is the support that makes it possible for the inherited features to be realized. (5.9)

Definite = CV (5.10)

Gender: M = Ø F = t (5.11)

	subject	singular non-subject	subject	plural non-subject
phonetic realization	[u:]	[o:]	[a:]	[e:]
phonological structure	U	A.U	A	A.I

(5.12)

The phonological complexity characteristic of non-subject forms is not lexical, rather it reflects out morphological complexity. More precisely, each of the 2 elements present in non-subject forms is the phonological exponent of one grammatical feature. (5.13)

Number	Sg = A	Pl = I
Case	S = non-association of the exponent of the number feature non-S = association of the exponent of the number feature	

(5.14)

	<u>Sg</u>		<u>Pl</u>	
1	a-ktib		1	ni-ktib
2m	ti-ktib-a	2c	te-ktib-na	
2f	ti-ktib-i			
3m	i-ktib	3c	e-ktib-na	
3f	ti-ktib			

(5.15)

	<u>Sg</u>	<u>Pl</u>	<u>gloss</u>
• Berber: <i>Kabyle Berber</i>	argaz	irgaz@n	"man"
	akʷ@rsi	ikʷ@rsiy@n	"seat"
• Chadic: <i>- Hausa</i>	kaazaa	kaaZii	"frog"
	faaraa	faarii	"grasshoper"
- <i>Pa'a</i>	taka	taki	"arrow"
	\$ila	\$ili	"axe"

(5.16)

Grammatical category	Phonological identity of the gramm. features	
Gender	$M = \emptyset$	$F = t$
Number	$Sg = A$	$Pl = I$
"Case"	$S = \text{non-assoc. of num}$	$\text{non-}S = \text{assoc. of num}$
Definite	CV	

(5.17)

	<u>Sg</u>		<u>Pl</u>	
	<u>S</u>	<u>nonS</u>	<u>S</u>	<u>nonS</u>
— gnr —	\emptyset	\emptyset	\emptyset	\emptyset
— def+?? —	CVCV	CVCV	CVCV	CVCV
??	V	V	V	V
— case —	U	U	A	A
— num —	A	A	I	I
	[u:]	[o:]	[a:]	[e:]

(5.18)

4. Why an additional element

(a) - Proposal

In Beja, the grammatical oppositions expressed on the Det must be phonetically readable.

(5.19)

Add the apophonic output of the element present in the representation.

(5.20)

(b) - The gramm. oppositions are phonetically readable => application of AP

Given (5.18) and (5.20), could the additional elements be other elements?

	<u>Sg</u>		<u>Pl</u>		<u>Sg</u>		<u>Pl</u>		<u>Sg</u>		<u>Pl</u>	
	<u>S</u>	<u>nonS</u>										
1)	x	z	y	t	2e)	x	z	y	z	y	z	y
2a)	x	x	y	z	3a)	x	x	y	x	y	x	y
2b)	x	y	y	z	3b)	x	x	y	x	y	y	y
2c)	x	z	y	x	3c)	x	y	y	y	y	x	y
2d)	x	z	y	y	3d)	x	y	y	y	y	y	y

where

(i) $x \neq y, x \neq A.z, x \neq I.t, y \neq A.z, y \neq I.t$ and $A.z \neq I.t$

(ii) $x \neq \emptyset$ and $y \neq \emptyset$

(5.21)

Configuration	x	\emptyset	y	\emptyset	where	(x, y)	$\{I, A, U\}^2$	$x \neq y$		
additional elements	elements of the det						phonetic realization			
I \emptyset A \emptyset	I	A	A	I			[i]	[a]	[a]	[i]
I \emptyset U \emptyset	I	A	U	I			[i]	[a]	[u]	[i]
A \emptyset I \emptyset	A	A	I	I			[a]	[a]	[i]	[i]
A \emptyset U \emptyset	A	A	U	I			[a]	[a]	[u]	[i]
U \emptyset I \emptyset	U	A	I	I			[u]	[a]	[i]	[i]
U \emptyset A \emptyset	U	A	A	I			[u]	[a]	[a]	[i]

(5.22)

Configuration additional elements	x	x	y	y	where	(x, y)	$\{\text{I}, \text{A}, \text{U}\}^2$, phonetic realization	$x \neq y$
I	I	A	A	I	A.I	A	[i] [e] [a] [e]	
I	I	U	U	I	A.I	U	[i] [e] [u] [i]	
A	A	I	I	A	A	I	[a] [a] [i] [i]	
A	A	U	U	A	A	U	[a] [a] [u] [i]	
U	U	I	I	U	A.U	I	[u] [o] [i] [i]	
U	U	A	A	U	A.U	A	[u] [o] [a] [e]	

(5.23)

5. What has to be lexicalized

Gender: + F = t

- Binary opposition, "feminine" is marked => opposition "+ F vs. - F".
- Expressed by "sthg vs. nothing".
- Lexicalise the exponent of + F only.

(5.24)

Number: + Pl = I

- x, x', y and y' 4 elts, where (x', y') is the apophonic output of (x, y).

DetM	Sg	Pl
— gnr —	\emptyset	\emptyset
— num —	x	y
— case —		
— def —	CV	CV
apo. der.	x'	y'

We needi. (x, y) $\{\emptyset, \text{I}, \text{A}, \text{U}\}^2$ ii. $x' \neq x.x'$, $x.x' \neq y.y'$, $x.x' \neq y.y'$, $x' \neq y', x' \neq y.y'$ Conditions on (x, y): - $x' \neq x.x'$ $\Rightarrow x \neq \emptyset$ - $y' \neq y.y'$ $\Rightarrow y \neq \emptyset$ - $x' \neq y'$ $\Rightarrow x \neq y$

(5.25)

- possibilities = $\{(\text{A}, \text{I}), (\text{I}, \text{A}), (\text{A}, \text{U}), (\text{A}, \text{U}), (\text{I}, \text{U}), (\text{U}, \text{I})\}$

(a) (sg, pl) = (A, I)

(b) (sg, pl) = (A, U)

	Sg	Pl	Sg	Pl	
	<u>S</u>	<u>nonS</u>	<u>S</u>	<u>nonS</u>	
— gnr —	\emptyset	\emptyset	\emptyset	\emptyset	\emptyset
— num —	A	A	I	I	U
— case —					
— def —	CV	CV	CV	CV	CV
	U		A		U
	[u]		[a]		[u]

(c) (sg, pl) = (I, U)

	<u>Sg</u>		<u>Pl</u>	
<u>S</u>	<u>nonS</u>		<u>S</u>	<u>nonS</u>
— gnr —	Ø	Ø	Ø	Ø
— num —	I	I	U	U
— case —				
— def —	CV	CV	CV	CV
	A	A	U	U
	[a]	[e]	[u]	[u]

(5.26)

If Beja lexicalizes +Pl = A, then it is impossible to derive the exponent of -Pl.

If Beja lexicalizes +Pl = I, then AP predicts the exponent of -Pl to be A. (5.27)

Do not associate the exponent of the copy of the number feature of the noun when it is phonetically readable on the verb. (5.28)

Definite: Support necessary to the realization of the num/gnr features. (5.29)

Summary

1. The three grammatical oppositions expressed on the determiner (gender, number and case) must be unambiguously phonetically readable.
2.
 - a. Definite = Support necessary to the realization of the gender and number features.
 - b. Gender = Mark + F
 - c. Nb = Mark + Pl
 - d. Do not associate the exponent of the copy of the number feature of the noun when it is phonetically readable on the verb.
3. AP : Ø -> I -> A -> U -> U (5.30)

6. Conclusion

- The existence of morphemes that consist exclusively in a tone or an element is recognized in autosegmental phonology. I propose that the third phonological primitive, the skeletal unit (CV in my framework), also may be the phonological exponent of a grammatical feature (ie the skeleton has an autonomous morphological activity).
- CV as exponent of the feature which is not inherited via concord.
 => further research: whether such a correspondence may be extended, that is: to precise how the differences between the various types of phonological primitives correspond to differences between the various types of grammatical primitives.

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Apophony theory and template organisation in Semitic

Content:

Apophony theory makes predictions on the organization of Semitic templates.

- the template set is organized.
- a precise relationship between templates: derivation.
- the role of the lexicon is reduced: a template may be derived from another one.

Data and analysis from Ségeral 1995, 2000.

1. Introduction

(Arabic) CaCaCa	kataba	"he wrote"				
	CuCiCa	kutiba	"it has been written"			
	CaCCaCa	kattaba	"he caused to write"			
	CiCaaCun	kitaabun	"book (nom.)"			
	maCCaCun	maktabun	"office (nom.)"			

(6.1)

(Gz)	\sqrt{qtl}	<i>Perfective:</i>	CäCäC-	<i>Imperfective:</i>	-CØCäC-	* -CØCäC-
			qätäl-		-qØtil-	* -qØtäl-
	\sqrt{lbs}	<i>Perfective:</i>	CäCØC-	<i>Imperfective:</i>	* -CØCäC-	-CØCäC-
			läbØs-		* -lØbis-	-lØbäs-(6.2)

	<i>vocalization</i>	<i>derivational relationship between templates</i>	
traditional view:		lexical	
apophonic view:	derived	no prediction	(6.3)

2. Construction of the model

(a) - The Apophonic Path

$$\emptyset \rightarrow I \rightarrow A \rightarrow U \rightarrow U \quad (6.4)$$

(b) - The "butterfly"

<i>possible deriv.</i>	<i>apophonic deriv.</i>	<i>possible deriv.</i>	<i>apophonic deriv.</i>
qatal > qutul	yes	qutul > qatal	*
qatal > qØtil	*	qØtil > qatal	*
qatal > qatil	*	qatil > qatal	yes
qutul > qØtil	*	qØtil > qutul	*
qutul > qatil	*	qatil > qutul	*
qØtil > qatil	*	qatil > qØtil	*

(6.5)

qatal	qatil	qatul	qatØl
qatal	qitil	qitul	qitØl
qatal	qutil	qutul	qutØl
qØtal	qØtil	qØtul	qØtØl

(6.6)

(a)	(b)	(c)
q Ø t Ø l	q i t Ø l	q Ø t i l
q i t i l	q a t i l	q i t a l
q a t a l	q u t a l	q a t u l
q u t u l	q u t u l	q u t u l

V1 & V2:
 $\emptyset \rightarrow i \rightarrow a \rightarrow u$ V2: $\emptyset \rightarrow i \rightarrow a \rightarrow u \rightarrow u$

V1: $i \rightarrow a \rightarrow u \rightarrow u$
 $V2: i \rightarrow a \rightarrow u \rightarrow u$

V1: $\emptyset \rightarrow i \rightarrow a \rightarrow u$

(6.7)

(a)	(b)
q a t Ø l	q Ø t a l
q u t i l	q i t u l
q u t a l	q a t u l
q u t u l	q u t u l

V1: $a \rightarrow u \rightarrow u \rightarrow u$
 $V2: \emptyset \rightarrow i \rightarrow a \rightarrow u$

V1: $\emptyset \rightarrow i \rightarrow a \rightarrow u$
 $V2: a \rightarrow u \rightarrow u \rightarrow u$

(6.8)

(a)	(b)
q u t Ø l	q Ø t u l
q u t i l	q i t u l
q u t a l	q a t u l
q u t u l	q u t u l

V1: $u \rightarrow u \rightarrow u \rightarrow u$
 $V2: \emptyset \rightarrow i \rightarrow a \rightarrow u$

V1: $\emptyset \rightarrow i \rightarrow a \rightarrow u$
 $V2: u \rightarrow u \rightarrow u \rightarrow u$

(6.9)

qutØl \\	qatØl qutil \\	qitØl qatil \\	qØtØl qitil \\	qØtil qatal \\	qØtal qatul \\	qØtul qutul /
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(6.10)

— Properties of the "butterfly"

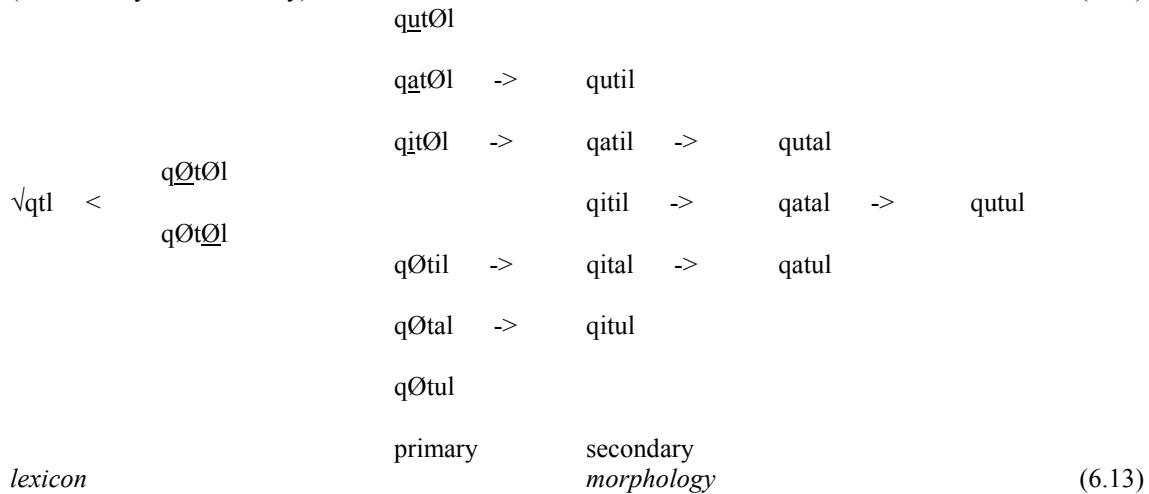
(i) Chirality

Hypothesis: one of the "wing" of the butterfly is the image of the set of nominal templates and the other "wing" is the image of verbal templates.

(6.11)

(ii) Apophonic relation between "primary" forms.

Hypothesis: q \emptyset t \emptyset l is an abstract form with two instances: q \emptyset t \emptyset l and q \emptyset t \emptyset l. Primary forms are derived via apophony (horizontally and vertically). (6.12)

(iii) Derivational scheme: sec. forms are organized according to the butterfly. (6.14)**3. Predictions of the model: Akkadian templates****(a) - Chirality**— General observation

Basic nominal templates:

Akkadian: pirs, pars, purs
Arabic: fi'l, fa'l; fu'l
Hebrew: sipr-, malk-, boqr-
Ethiopian: qitl, qätl

Basic verbal templates:

Akkadian: -pqid-, -\$bat-, -rpud-
Arabic: labis-, katab-, kabur-
Hebrew: kaabed, qaaTal, qaaTon
Ethiopian: CäCØCä, qätäl-

are of the "left" type: CVCØC

are of the "right" type: CØCVC

(6.15)

— Consider the Akkadian verbal system.

LOOK AT PAGE 6, PLEASE

Two domains: D1 on the left of R2: prosodic morphology,
D2 on the right of R2: no prosodic morphology. (6.17)

The vowel in D1 is A or Ø.
The vowel in D2 is an apophonic vowel. (6.18)

D1	D2
- R1 ... V1 ... A	R2 V2 R3 - apophonic V

prosodic morphology: yes - -
apophonic morphology: - yes (6.19)

— Consider the Akkadian nominal system.

pars 1	pirs 2	purs 3	paras 4	paris 5	parus 6
paraas 7	pariis 8	paruuus 9	piraas 10	puraas 11	puriis 12
paaris 14					puruus 13

(6.20)

Long vowel always in D2, V2 = Ø, aa.
The vowel in V1 is an apophonic vowel. (6.21)

D1	D2
- R1 ... V1 apophonic V	R2 V2 ... R3 - A

prosodic morphology: - yes
apophonic morphology: yes - (6.22)

The verbal system is the mirror image of the nominal system. (6.23)

(b) - Derivational relationships between primary forms

I preterite	->	A present	->	U stative
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(6.24)

pris "transitives, moment. act" intransitive vbs of motion state verbs	pras transitives	pr <u>us</u> intransitives
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(6.25)

pirs <i>root-nouns</i> birku 'knee' <i>action noun</i> ziqtu 'piqûre'	par <u>s</u> <i>root-nouns</i> kalbu 'dog' <i>concrete noun</i> rakbu 'cavalier'	pur <u>s</u> <i>root-nouns</i> uznu 'ear' <i>abstract noun</i> nukurtu 'hostility'
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(6.26)

I action noun	=>	A concrete noun	=>	U abstract noun
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(6.27)

(c) - Derivational algorithm (secondary forms)

purØs	parØs	pirØs	pØrØs	pØris	pØras	pØrus
\	¬	¬	¬	¬	¬	/
	puriis	pariis	piris	piraas	pirus	
	\	¬	¬	¬	/	
		puraas	paraas	paruuus		
		\	¬	/		
				puruus		

(6.28)

Summary: prediction on a formal basis

- 4 derivations:
 - a- (pirØs) -> pariis -> puraaas -> puruuus
 - b- (parØs or purØs) -> puriiis
 - c- (pØrAA) => pirAAs => parAAs => purAAs
 - d- (pArØs) => pAriis => pAraas => pAruus

- 2 values for homophonous forms:
parAAs / pAraas, puraaas / purAAs, pariis / pAriis

c	pirAAs	parAAs	purAAs	<i>root nouns</i>
d	pAriis <i>subst G-infinitive</i>	pAraas <i>G-infinitive</i>	pAruus <i>G-part passive poet</i>	
a	pariis <i>adj substantive</i>	puraas <i>adj substantive pers/obj</i>	puruus <i>concrete noun (objects)</i>	
b	puriis <i>diminutive, pejorative</i>	puraas	puruus	(6.29)

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